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Sector #

**TRANSMITTAL LETTER**  
(General - Patent Pending)

Docket No.  
5325-0166.30

In Re Application of

Huang et al.

Serial No.  
09/685,940

Filing Date  
10/10/2000

Examiner  
Unknown

Group Art Unit  
1615

Title:

Neutral-Cationic Lipid for Nucleic Acid and Drug Delivery

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Transmitted herewith is:

Signed Declaration - 4 pages  
Copy Notice to File Missing Parts - Part 2 - 1 page  
Acknowledgement Postcard

in the above identified application.

- ☐ No additional fee is required.
- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. **01-1173** as described below. A duplicate copy of this sheet is enclosed.
- ☒ Charge the amount of **\$130.00**
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Dated: 25 Jan 2001

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UNITED STATES PATENT AND TRADEMARK OFFICE  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/685,940	10/10/2000	Shi Kun Huang	5325-0166.30

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## FORMALITIES LETTER



\*OC000000005575697\*

Date Mailed: 11/27/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

  
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PART 2 - COPY TO BE RETURNED WITH RESPONSE

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